DTO3 Rec'd PCT/PTO 1 7 MAR 2005



Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 7395-000022/NP

U.S. APPICATION NO 21500 9. See 3 CFR 1.5)

TO-1390 (Rev. 02-2005)

INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED 25 September 2002 (25.09.2002) PCT/EP2003/010238 15 September 2003 (15.09.2003) TITLE OF INVENTION AN OPTOELECTRONIC SENSING DEVICE APPLICANT(S) FOR DO/EO/US BOEHLAU, Christian, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3 (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. l Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Express Mail Trans. (EV 570 160 688 US), Check for \$1,100, Drawings (2 pages), Form HDP-1449 w/ ISR, German 20. X Other items or information: Search Report & 8 cited references, Form PCT/IB/306, Published Intn'l App WO 2004/036245 A2, Return Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-2005)
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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2003/010238					APPLICATION NO.	ATTORNEY'S DOCKET NUMBER	
	lu	1/52	8245	PCT/EP20	03/010238	7395-0000	)22/NP
The following fees have been submitted						CALCULATIONS	PTO USE ONLY
21. 🔀 Basi	ic natio	nal fee			\$300	\$ 300.00	
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						\$ 200.00	
All other situations						400.00	
TOTAL OF 21, 22 and 23 =						\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Sheets Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole number)		RATE		
21 - 100 =		-79 <sub>/50</sub> =			x \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$ 0.00	)
CLAIMS		NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims			24 - 20 =	4	× \$ 50	\$ 200.00	
Independent claims			2 -3=	0	× \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$ 0.00	)
TOTAL OF ABOVE CALCULATIONS =						\$ 1,100.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						0.00	1
SUBTOTAL =						\$ 1,100.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$	0.00
TOTAL NATIONAL FEE =						\$	1,100.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +						\$	0.00
			\$	1,100.00			
						Amount to be refunded:	\$
						Amount to be charged:	\$
a. $X$ A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u> . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Linda M. DESCHERE SIGNATURE						- 171100	w o s
HARNESS, DICKEY & PIERCE, P.L.C.						SCHEDE	
NAME						COURTE	
Bloomfield Hills, MI 48303							
						ON NUMBER	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Express Mail No.: EV 570 160 688 US** 

Date of Deposit: 17 March 2005

Application No.:

Not yet assigned - 371 of PCT/EP2003/010238

International

Filing Date:

15 September 2003 (15.09.2003)

Applicant:

Christian BOEHLAU, et al.

Title:

AN OPTOELECTRONIC SENSING DEVICE

Attorney Docket:

7395-000022/NP

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

## **EXPRESS MAIL TRANSMITTAL**

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 17 March 2005 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 570 160 688 US);
- 2. Check #56988 in the amount of \$1,100.00;
- 3. Transmittal Letter to the US Designated/Elected/Office Concerning a Submission Under 35 USC 371 (2 pages, in duplicate);
- 4. Application Data Sheet (3 pages);
- 5. English Translation of International Application w/ Declaration (22 pgs);
- 6. Drawings showing Figs. 1 2 (2 pages);
- 7. Preliminary Amendment (10 pages);
- 8. IDS with Form HDP-1449, ISR, German Search Report & 8 cited references;
- 9. Copy of Form PCT/IB/306 (1 page);
- 10. Copy of Published International Application WO 2004/036245 A2;
- 11. Return Postcard.

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

Susan McGaw

**DECLARATION** 

I, Jeffrey C. Barfield of Alpenrosenstrasse 3, 82377 Penzberg, Germany,

do hereby declare that I am conversant with the English and German

languages and that I am a competent translator thereof.

I verify that the attached English translation is a true and correct trans-

lation of the German language patent application with the international

publication number WO 2004/036245 A2 and the international file

reference PCT/EP2003/010238.

I further declare that all statements made herein of my own knowledge

are true and that all statements made on information and belief are

believed to be true; and further that these statements were made with

the knowledge that willful false statements and the like so made are

punishable by fine or imprisonment, or both, under Section 1001 of

Title 18 of the United States code and that such willful false statements

may jeopardize the validity of the application or any patent issued

thereon.

Date: March 10, 2005

Jeffrey C. Barfield